



Sector#

PATENT
450100-02608

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hideki TAKAYASU et al.
Serial No. : 09/611,896
Filed : July 7, 2000
For : PRICE FLUCTUATION PREDICTION DEVICE AND
PREDICTING METHOD, PRICE FLUCTUATION WARNING
DEVICE AND METHOD, AND PROGRAM PROVIDING
MEDIUM
Art Unit : 2761

745 Fifth Avenue
New York, New York 10151
Tel. (212) 588-0800

I hereby certify that this correspondence is being
deposited with the United States Postal Service as
first class mail in an envelope addressed to:
Assistant Commissioner for Patents
Washington, D.C. 20231, on November 17, 2000

Glenn F. Savit, Reg. No. 37,437

Name of Applicant, Assignee or
Registered Representative

Signature

November 17, 2000

Date of Signature

COMMUNICATION

Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File
Missing Parts of Application" (form PTO-1533) dated October 4,
2000 on the above application, a Declaration duly signed by the
inventors, and a check in the amount of the required \$130.00
surcharge. By separate cover, we are filing the Assignment and
the \$40.00 fee for recording same.

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Please charge any additional fees incurred or credit
any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the
identified application is now complete. Early examination of the
application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: *Glenn F. Savit*

Glenn F. Savit
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Enclosure



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/611,896 | 07/07/2000 | Hideki Takayasu | 450100-02608 |

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FORMALITIES LETTER



OC000000005452609

Date Mailed: 10/04/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

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